Sanford DeLand
ASSET MANAGEMENT
Business Perspective Investors

Reset form

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Subscription Form– Individual/Joint Investors

This form can be used to:

✓ Make a initial contribution into an Tutman ISA
✓ Make a initial contribution into an Tutman JISA
✓ Make a initial contribution into an Tutman General Investment Account (GIA)
✓ Make a lump sum contribution into an existing Tutman ISA
✓ Make a lump sum contribution into an existing Tutman JISA
✓ Make a lump sum contribution into an existing Tutman General Investment Account (GIA)

✓ Start or increase regular savings into an existing Tutman ISA
✓ Start or increase regular savings into an existing Tutman JISA
✓ Start or increase regular savings into an existing Tutman General Investment Account (GIA)

Please let us know which investment you would like to top-up by ticking the relevant box below:

Make a Lump Sum Contribution:

☐ ISA - I wish to make an initial contribution to my Stocks and Shares ISA
☐ JISA - I wish to make an initial contribution to my stocks and shares JISA
☐ GIA - I wish to make an initial contribution to my General Investment Account (GIA)
☐ ISA - I wish to make an additional contribution to my Stocks and Shares ISA
☐ JISA - I wish to make an additional contribution to my stocks and shares JISA
☐ GIA - I wish to make an additional contribution to my General Investment Account (GIA)

Start or increase my regular savings:

☐ ISA - I wish to start or amend monthly savings in my Stocks and Shares ISA
☐ JISA - I wish to start or amend monthly savings in my stocks and shares JISA
☐ GIA - I wish to start or amend monthly savings in my General Investment Account (GIA)

Cont / …

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How do I instruct my first deal?
Once you have received confirmation that your account has been opened and have been sent your registered account number and account name you may submit your first deal. Please note that any deals submitted before the account has been opened will not be placed.

How do I make further investments and redemptions?
Please telephone the dealing line or complete this Subscription Form. This form may be requested from the Administrator, using the contact methods below.

Please complete this Subscription Form in blue/black ink using BLOCK CAPITALS and send it to the Administrator via one of the following methods:

Post/Recorded Delivery/Courier: Thesis Unit Trust Management Limited – Sanford DeLand Asset Management Ltd, PO BOX 12248, Chelmsford, Essex CM99 2EG
Fax number: 0330 123 3751

General enquiries: 0345 1136 965

Please Note: This Subscription Form, when accepted by the Administrator on Tutman's behalf, will constitute a binding contract and be evidenced by the issue of a contract note, which is a confirmation statement detailing each order executed on your behalf. No other acknowledgement will be issued at the time of investment and only in the event of a query will there be further communication.

Please choose which Fund(s) you wish to invest in and insert an amount in the appropriate boxes below. You can invest with a lump sum amount only.

Full details of share/unit classes available and the specifics of minimum subscription/holding and subsequent top-up amounts can be found in the prospectus of the Fund ("Prospectus"), a copy of which is available at www.tutman.co.uk/literature or on request by calling 0345 1136 965 or via email to info@tutman.co.uk

Account number:	
Registered Account name	

Cont / …

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Investment Details

TM Sanford DeLand Funds Sub-Fund	Share Class and Type* (Minimum Initial Investment £500)	Lump Sum	Monthly** (Minimum £50 per calendar month)
TM SDL UK Buffettology Fund	General Income	£	£
TM SDL UK Buffettology Fund	General Accumulation	£	£
Total Investment Amount		**£**	**£**

***Income Shares:** Your share of the net income of the Fund is paid to you in cash unless you have elected to reinvest the income (accumulation shares), where any additional shares will be purchased at no cost.*

***Monthly contributions must be rounded in £10 amounts. If you have chosen monthly contributions, please complete the direct debit instruction at the end of this form and ensure that a cheque from the same account, made payable to Thesis Unit Trust Management Limited, is submitted with this application form to represent the first contribution. Contributions will be collected from your bank on the first working day of the month.*

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Settlement

Payments should be made to the following Royal Bank of Scotland bank account:

Sterling payments only:

Account:	THESIS UNIT TRUST MANAGEMENT LTD CORPORATE DEALING ACCOUNT
Account Number:	17419571
Sort Code:	16-00-15
Swift:	RBOS GB 2L
IBAN:	GB34 RBOS 1600 1517 4195 71

Settlement should be made in the currency of the selected share/unit class directly into the relevant bank account no later than the Settlement Day (please refer to the Contract Note).

Originating Bank/Building Society Account Details – for initial subscription only[1]
Note: Subscription monies have to originate from the account of the investor(s).

Account Name:	
Account Number:	Sort Code:
Address Line 1:	
Address Line 2:	
Address Line 3:	Postcode:

I/we confirm that any income for the holding and any receipts from redemptions should be paid to the above bank/building society account.

Please note that, if you do not provide your bank or building society details, then we will not be able to process your application.

[1] *A request to change bank or building society account details must be sent in writing to the Administrator and must be accompanied by a bank statement or banker's reference.*

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Declaration and Authority – Investor(s)

- I/We declare that I/we wish to purchase shares/units in the above Fund at the price prevailing at the first valuation following receipt of the Subscription Form, in accordance with my/our instructions on this Subscription Form which has been completed to the best of my/our knowledge and belief and request Thesis Unit Trust Management Limited to act in accordance with my/our instructions.
- I/We enclose a cheque made payable to Thesis Unit Trust Management Limited or will transfer the subscription monies electronically for the lump sum amount to be invested.
- I/We confirm that the subscription monies are my/our own and that I/we will be the beneficial owner(s) of the shares/units.
- I/We agree to reimburse Tutman and the Administrator (as defined in the Prospectus) for any loss arising due to any delays in processing this dealing instruction if I/we have not provided required information.
- I/we have read, understood and agree to be bound by the Terms of Business - Retail and have had any questions answered to my/our satisfaction prior to making this declaration. I acknowledge that the Terms of Business may be amended from time to time at Tutman's discretion.
- I/We declare that the latest Prospectus, KIID or NURS-KII Document, Supplementary Information Document and Consumer-Facing Disclosure and Assessment of Value Statement (each as is defined in the Terms of Business - Retail) ("Fund Disclosures"), as applicable to the Fund(s) listed in this Subscription Form, have been provided or made available to me/us in good time prior to submitting this Subscription Form and that I/we have read and reviewed such documents and have had any questions answered to my/our satisfaction prior to making this declaration.
- I/We hereby acknowledge and agree that the latest Fund Disclosures for each class of share/unit is available on Tutman's website www.tutman.co.uk or on request via email to info@tutman.co.uk and that I/we will read and review the most up-to-date version of the relevant Fund Disclosures, prior to making any subsequent Application for Shares/Units in a Fund.
- I/We agree that, if the Fund Disclosures are made available to me/us by means of Tutman's website, I/we have been given the option to receive the relevant document in paper copy and have chosen to receive it by means of Tutman's website instead.
- I/We hereby agree to reimburse Tutman and its delegates and/or agents including the Administrator for any loss arising to Tutman and/or its delegates and/or agents by reason of them becoming liable to account for tax in any jurisdiction if I/we have not provided the relevant or correct information to Tutman and/or the Administrator in this regard. This reimbursement applies notwithstanding anything to the contrary in this Application Form or the Prospectus, unless otherwise agreed by Tutman in writing.
- In completing this Subscription Form, I/we represent that I am/we are not a US Person or acquiring Shares for the Account or benefit, directly or indirectly, of a US Person or with the assets of an ERISA Plan.
- Non-UK residents: In completing this Subscription Form, I/we confirm that:
  - I am/we are resident in the country set out in this Subscription Form;
  - I have/we have not received any marketing literature or verbal representations in respect of the Fund in that country;
  - I am/we are submitting this Subscription Form on my/our own initiative; and
  - I/we acknowledge that approval of my/our application is subject to the laws/regulations in my/our non-UK jurisdiction.
- I/We consent to our shares/units being compulsorily redeemed in accordance with the Prospectus if any statements made in relation to my account is not correct or becomes incorrect.
- I/We understand the investment objective(s) of the Fund(s) listed in this Subscription Form and I am/we are satisfied that it meets my/our current financial objectives.

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Applicant Name(s) and Signature(s):

Name:	
Signature:	Date:
Name:	
Signature:	Date:

Declaration - Intermediary

- ◯ I confirm that the investor(s) named in this Additional Subscription Form is/are entitled to Cancellation Rights under the FCA Conduct of Business Rules. (Tick only if Cancellation Rights apply)

Or

- ◯ I confirm that the investor(s) named in this Additional Subscription Form is/are not entitled to Cancellation Rights under the FCA Conduct of Business Rules because:
  - ☐ the contract represents an exchange of shares/units between sub-funds of the same umbrella.
  - ☐ the contract relates to a change between shares/units of one class and shares/units of another class in the same scheme.
  - ☐ the applicant is not habitually resident in the UK at the date of the offer of the contract.
  - ☐ no Personal Recommendation of the contract was provided by anyone carrying on designated investment business in the UK.
  - ☐ the contract relates to the second and subsequent purchases of Units under recurring single payment Unit savings plans, provided that:
    - ◯ the intention or option to make a series of single payments is disclosed at the outset (for example in pre-contract disclosure documents); or
    - ◯ the intention is evidenced (for example, by the establishment of a direct debit mandate).

We may record telephone calls to improve customer service and for regulatory record-keeping purposes.

Thesis Unit Trust Management Limited is registered in England and Wales under number 03508646. Thesis Unit Trust Management Limited is authorised and regulated by the Financial Conduct Authority and is entered in the Financial Services Register (Firm Reference Number 186882).

TUTMAN LLP is registered in England and Wales under number OC369415. TUTMAN LLP is authorised and regulated by the Financial Conduct Authority and is entered in the Financial Services Register (Firm Reference Number 612721).

Tutman is a trading style of TUTMAN LLP and Thesis Unit Trust Management Limited.

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DIRECT Debit

Instruction to your bank or building society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

Thesis Unit Trust Management Limited –
Sanford DeLand Asset Management
PO Box 12248
Chelmsford
CM99 2EG

Service user number

1	7	2	0	5	7

Name(s) of account holder(s)

Reference

Bank/building society account number

Branch sort code

Instruction to your bank or building society

Please pay Thesis Unit Trust Management Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Thesis Unit Trust Management Limited and, if so, details will be passed electronically to my bank/building society.

Name and full postal address of your bank or building society

To: The Manager — Bank/building society

Address

Postcode

Signature(s)

Date

Banks and building societies may not accept Direct Debit Instructions for some types of account

DDI2

This guarantee should be detached and retained by the payer.

DIRECT Debit

The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Thesis Unit Trust Management Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Thesis Unit Trust Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Thesis Unit Trust Management Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society
  - If you receive a refund you are not entitled to, you must pay it back when Thesis Unit Trust Management Limited asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.